

MLB Capital Pvt. Ltd
301, Pratap Chambers, Gurudwara Road, Karol Bagh,
New Delhi-110005

SEBI Reg No: INZ000280737 ; NSE (Cash, F&O, CDS); Member Code: 14451
BSE (Cash, F&O, CDS); Member Code: 6478

Dear Sir,

SUB: Application for dealing/trading in additional Exchange/ Segment

This is with reference to my trading account held with you. I hereby declare that I/we wish to deal/trade in the Cash/Derivatives/Currency segment provided by you and furnish the following details:

PAN		U. Client Code:	
Full Name			
Address			
Mobile	SMS alert facility required <input type="checkbox"/> Yes <input type="checkbox"/> No		
above mobile is related to: <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent Specify Pan number of Mobile owner (if not of Self):			
Email Id	above email is related to: <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent Specify Pan number of email owner (if not of Self):		
Whether you wish to avail of the facility of Internet trading / wireless technology			<input type="checkbox"/> Yes <input type="checkbox"/> No (Please specify.....)
Gross Annual Income Details for the financial year _____ (Please tick whichever is applicable) <input type="checkbox"/> Below Rs. 1 lac <input type="checkbox"/> Rs.1 Lac - 5 Lac <input type="checkbox"/> Rs. 5 Lac- 10 Lac <input type="checkbox"/> Rs.10 Lac - 25 Lac <input type="checkbox"/> Rs. 25 Lac and above			
<i>Required to enclose a copy of any valid financial proof viz. ITR copy, Salary Slip, form 16, Profit and loss a/c etc.in support of the financial status declared above.</i>			

Trading Preferences (Please sign in the relevant boxes where you wish to trade)	
NSE (Capital Market - Cash)	BSE (Capital Market - Cash)
*	*
NSE (Equity Derivatives)	BSE (Equity Derivatives)
*	*
NSE (Currency Derivatives)	BSE (Currency Derivatives)
*	*

Further, I would like to confirm that there is no change in the KYC details/information already provided to you on _____. I understand that the Member-Client Agreement executed/KYC is construed as Agreement /KYC for the additional segment also.

I/we would also request you to kindly facilitate me by adjusting the amount lying to my/our credit in one exchange/segment with the debit arising out of my transactions in another exchange/segment.

I/We confirm having read/been explained and understood the contents of the modified/amended RMS Policy, Policies and Procedures, Running account Authorisation, POA addendum etc. and taken note that the same are displayed at the website www.mlbcapital.com for my/our reference.

Declaration

- 1) I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/are aware that I/we may be held liable for it.
- 2) I/We confirm having read/been explained and understood the contents of the document of policy and procedures of the stock broker and the tariff sheet.
- 3) I/We confirm having read/been explained and understood the contents of the 'Rights and Obligations' document(s) including clauses mentioned under 'Internet and Wireless Technology based trading facility provided by the stock brokers' and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/we have also been informed that the standard set of documents has been displayed for information on stock broker's designated website www.mlbcapital.com.
- 4) I/We have read and understood the terms & conditions related to SMS alert facility given in Annexure A and B as given at www.mlbcapital.com. I/We hereby give my/our consent for receiving information including Central KYC Registry through SMS/Email on the above registered mobile number/email address.

Date:

Place:

*

(Signature of Client)

For any grievance/dispute please contact MLB CAPITAL PVT. LTD. at the above address 301, Pratap Chambers, Gurudwara Road, Karol Bagh, New Delhi-110005 or email id-mlbcaps@hotmail.com and Phone No. +91-11-45060600. In case not satisfied with the response, please contact the concerned exchange(s) at:

National Stock exchange at ignse@nse.co.in or call at 18002660050
Bombay Stock exchange at is@bseindia.com or call at 022-22738097

OPTIONAL

Required only if trading in Equity/ Currency Derivatives segment and where securities are to be utilised against margin obligations.

Letter of Authorisation to transfer/pledge the securities with Clearing Member/Corporation

To, MLB Capital Pvt Ltd
 301, Pratap Chambers, Gurudwara Road, Karol Bagh, New Delhi 110005

I/We are dealing through you as a client registered in NSE/BSE in Future & Options/ Currency Derivatives. I have given you the securities as margin. I hereby confirm and declare that:

1. The Securities are in existence, owned by me/us and are and shall be free from any charge, lien or encumbrance, whether prior or otherwise
2. That I/We hereby authorize member to utilize any such securities retained in my/our account with you for my dealings in any segment towards collateral.
3. That I/We hereby authorize member to deposit / pledge these securities with a clearing house / clearing member / exchange to provide or adjust for cash - limits / margins.
4. That these securities shall be valued at current market prices and credit (referred as collateral amount) shall be assigned only after applying the due hair-cut percentages, which shall vary from security to security.
5. That I/We undertake to replenish any shortfall in the value of securities in the form of additional securities and / or cash, as is acceptable to member by the start of the next trading session from the time of member intimating me/us on such shortfall either orally or in writing.
6. That I/We hereby authorize member to dispose / liquidate my/our securities to meet any shortfall in margins and / or adjust any outstanding dues in my/our account with member.
7. That I/We shall intimate member in advance for any release of securities from your custody / from such entity with which those securities may have been deposited pledged. I / we accept that member shall not be releasing the securities pending any outstanding positions and dues in my/our account with member. Further member shall transfer the securities lying in the credit of the client within one working day of the request if the same are lying with him and within three working days from the request if the same are lying with the Clearing Member/Clearing Corporation.
8. That I/We shall intimate member at least 2 days in advance, but under no circumstance later than "T" days (T refers to a sale transaction date) to adjusting my/our securities with you against my/our sale obligation in the same securities in any exchange - segment. I/We hereby undertake to fulfill any shortfall in the collateral amounts arising out of such adjustment of securities, before the scheduled securities pay-in-date at the exchange, failing which member shall not be responsible for shortage in securities pay-in.
9. That in future I/We shall not make any claim from member, in any manner whatsoever for any losses and costs arising of shortage in securities pay-in mentioned in point 7 & 8 and delay in releasing my securities back, due to reasons associated with depository participant / clearing house / clearing member.
10. This authorization may be revoked at any time by me/us by giving written notice to the member.

Signature with Date

Name: _____